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BETTER INVESTMENT BETTER FUTURE



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MESSAGE FROM THE CHAIRMAN

Invest in value, insist on reform and innovation, and ser e national development.

.....

Invest in the future, insist on technology empowerment, and launch green journey for "new SDIC."

.....

IESSAGE FROM THE CHAIRMAN

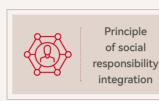






RESPONSIBLE INVESTMENT: SYSTEM

RESPONSIBLE INVESTMENT: PRINCIPLE









Strategy-driven and benef toriented principle

RESPONSIBLE INVESTMENT: IDEA

In 2016, the United Nations took the lead to launch the Principles for Responsible Investment (PRI), which includes six major investment principles relating to environment, society, and governance (ESG concept). As one of the first batch of enterprises included in the pilot program of State-owned capital investment and operating companies, SDIC has actively abided by the United Nations Principles for Responsible Investment and other initiatives, fully combined ESG theory with ESG investing, comprehensively integrated environmental, social and governance factors into investment decisions, carried out responsible investment while conducting businesses, and promoted the integration and progress of responsible investment from the aspects of, among others, principle, model, portfolio, and capability, striving to create the greatest economic, social and environmental value.



Since entering the new era, SDIC has ef ectively carried out the new development idea, integrated into the new development pattern, insisted on deepening supply-side structural reform in line with the requirements of high-quality development, and implemented the mission of the State-owned capital investment and operating company in serving national development, optimizing the layout of Stateowned capital, and enhancing industry competitiveness, and built the " new SDIC" featuring f exible mechanism, optimized structure, good benef ts, and strong capabilities in accordance with the " 1331" overall vision.

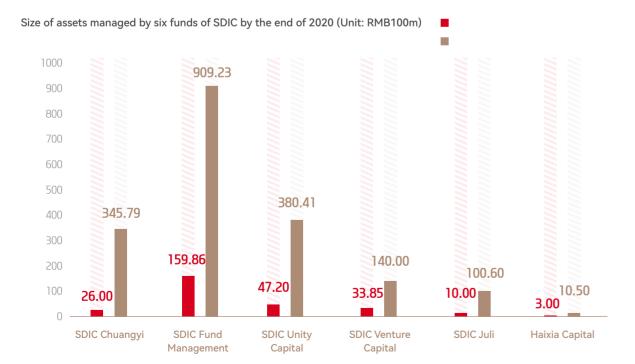


RESPONSIBLE INVESTMENT: MODEL



"Direct investment + fund investment" model



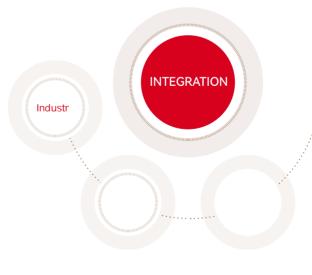


M&A projects of SDIC during the $13^{\rm th}$ Five-Year Plan period





9



"M&A + greenf eld investment" model

09

"Industr - university-researchapplication collaboration" model

RESPONSIBLE INVESTMENT: PORTFOLIO

RESPONSIBLE INVESTMENT

10

Industr por folio

Basic industry	Strategic emerging industry	Financial and service industry

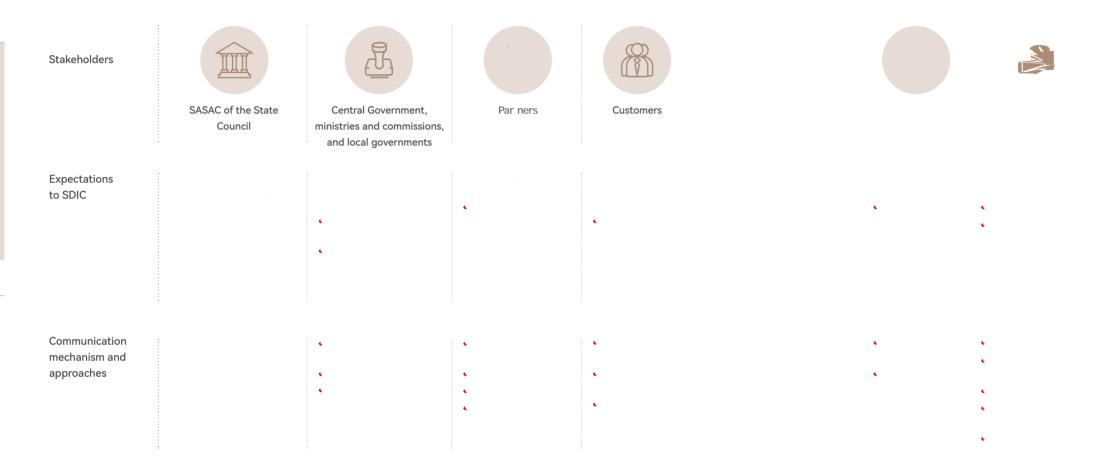
	2020	2019	2018
Electric power	81%	80%	79%
Mining	7%	7%	6%
Transpor ation	12%	13%	15%

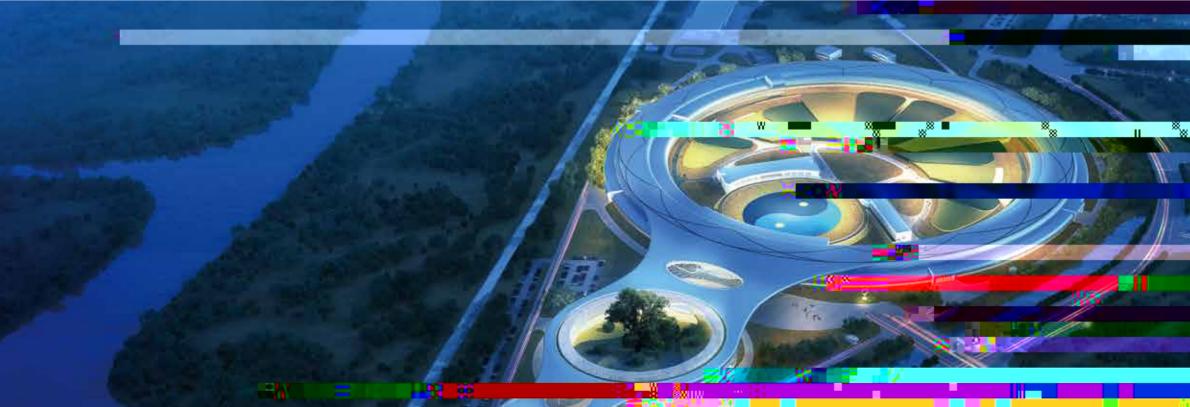
RESPONSIBLE INVESTMENT

14

RESPONSIBLE INVESTMENT

STAKEHOLDER CONCERNS AND COMMUNICATION MECHANISM







- ·

PONSIBLE INVESTMEN

17

High Energy Photon Source (HEPS) project consulted and designed by China Electronics Engineering Design Institute (CEED)

INVESTING

IN VALUE

VALUE IS ABOUT ACHIEVING GROWTH

.

We insist on strategic investment, value investment, and responsible investment, promote sound development of operating performance, persist in innovation-driven development, advance and deepen reform, enhance risk management and control, constantly create long-term return on investment, and embrace sustainable development.



Casto

The total prof ts of SDIC reached

billion RMB yuan in 2020

billion RMB yuan in 2020



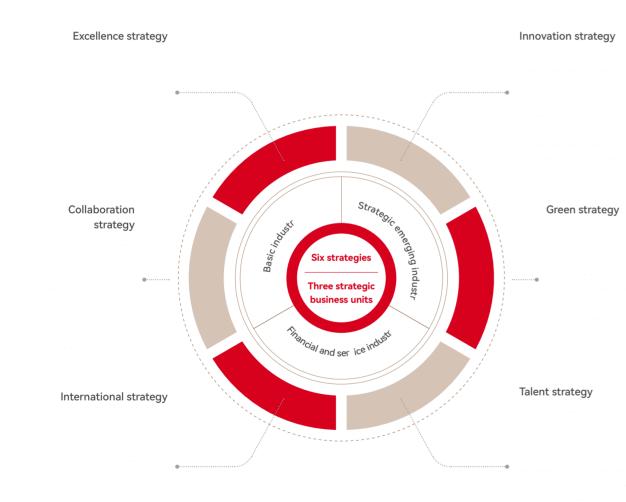
The asset size of SDIC reached

682.3 billion RMB yuan in 2020

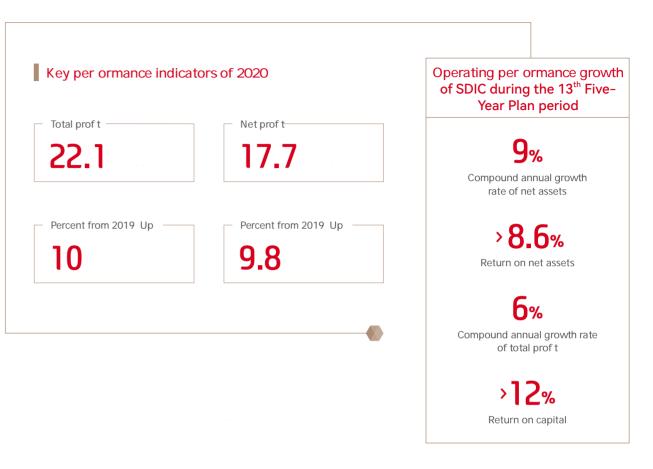
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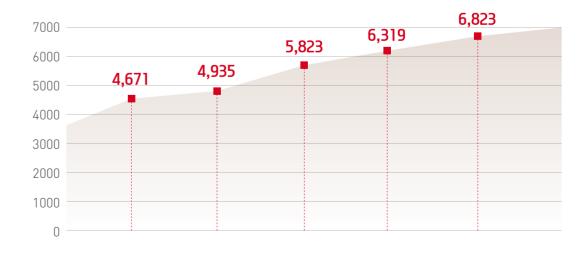
CORPORATE STRATEGY



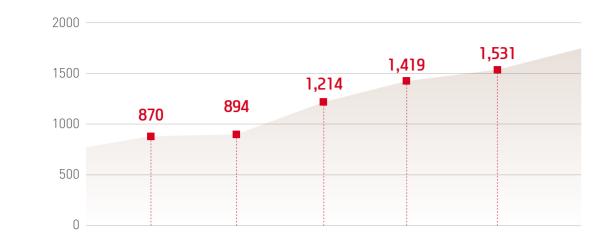
CONSTANT PERFORMANCE GROWTH



Total assets of SDIC during the 13th Five-Year Plan period (Unit: RMB100m)



INVESTING IN VALUE

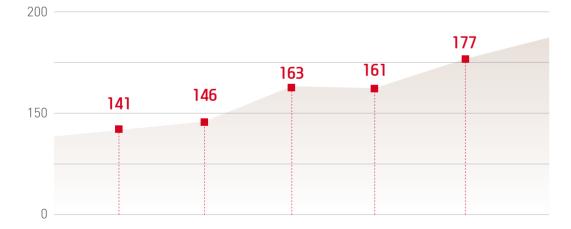


Total operating revenue of SDIC during the 13th Five-Year Plan period (Unit: RMB100m)

NVESTING IN VALUE

22

Net prof t of SDIC during the 13th Five-Year Plan period (Unit: RMB100m)



Key production indicators of SDIC during the 13th Five-Year Plan period



31,827

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234



1.6

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717

764

8.07

Key business progress in 2020

Basic industr



SDIC Communications signed stagic coopeation agreements with local goernments

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nE -En5' 5E nn n E-nf 5s nnnonnnp2nE

sn5PP oChina Gaoxin Investment successfully acquired

Xan Golden Mountain Ceamic Composites

Co, accelerating the cultivation of the leading

f and a state of the state of t

ING IN VALUE

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ESTING IN VALUE

DEVELOPMENT PLANNING

28

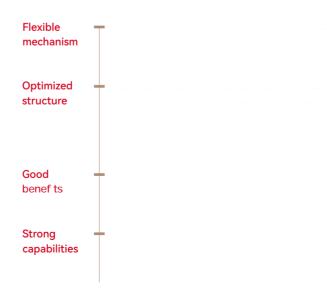
"1331" Overall Vision "1" Implement the mission of the Stateowned capital investment and

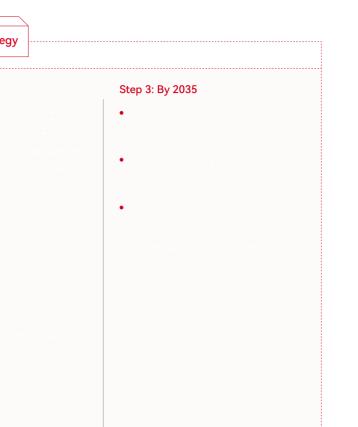
Ų	operating company

'3'' Focus on three areas	
Vigorously developing the business	in domestic and international market
Domestic market	International market
Comprehensively enhance four capa	bilities
Comprehensively enhance four capa	bilities
Comprehensively enhance four capa	bilities
Comprehensively enhance four capa Constantly promote f ve strengtheni	

'3'' Implement the three-step	o development strat
Step 1: By 2025	Step 2: By 2030
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R&D expenses of SDIC during the 13th Five-Year Plan period (Unit: RMB100m)

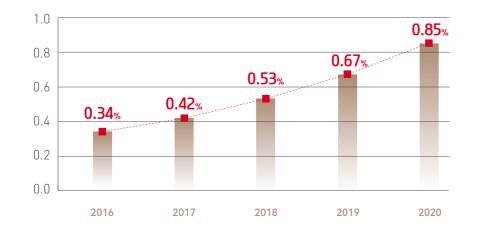
30

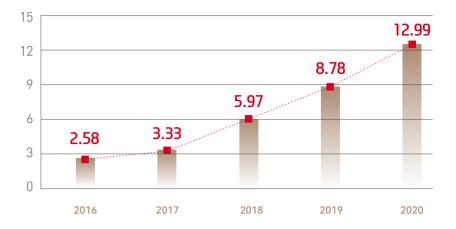
INVESTMENT IN INNOVATION

<u>ā</u> 1 200	449	



Propor ion of R&D expenses of SDIC during the 13th Five-Year Plan period





Directly held investment and fund investment in 2020 (Par)

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Biomedicine

Precise diagnosis

Tumor therapy



R&D of new medicines

Messenger RNA (mRNA) COVID-19 vaccine samples developed by Suzhou Abogen Biosciences



New materials

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Optics

INVESTING IN VALUE

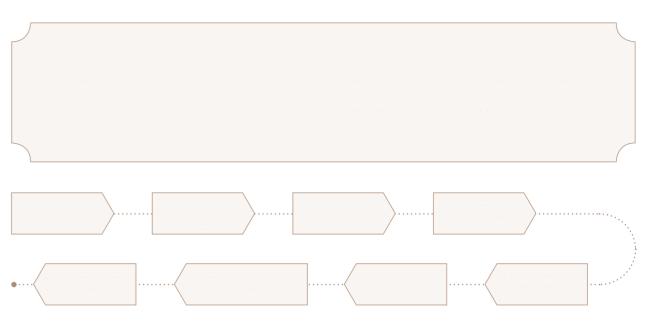


亚普汽车部件股份有限公司 YAPP Automotive Parts Co.Ltd

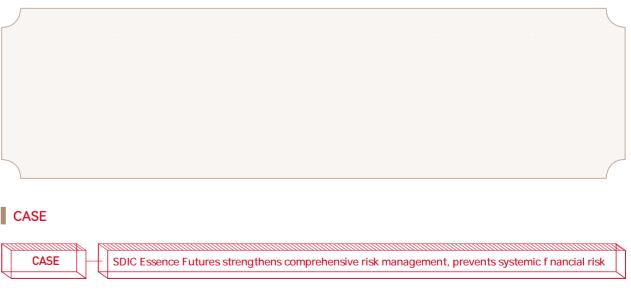
YAPP Automotive Systems Co.



ENHANCING RISK PREVENTION AND CONTROL CAPABILITY



PREVENTING FINANCIAL RISKS



	CASE
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	C	А;	s S	SE				SDIC Essence Futures streng														~	~	h	e	n	s	0	20	n	n i	2						

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IN VALUE

PREVENTING AND CONTROLLING LEGAL AND COMPLIANCE RISKS

Major measures for preventing and controlling legal and compliance risks in 2020

INVESTING IN VALUE

INVESTING

IN THE FUTURE

FUTURE IS ABOUT PROTECTING THE EARTH

We use the power of science and technology to embrace the great oppor unities of the times. Construct the ecosystems in which Mother Nature and green development come f rst to meet the severe challenges raised by climate change, and contribute to a better future on the journey of building a "new SDIC." The investment in R&D of SDIC reached

(2) 美亚阳母

billion RMB yuan in 2020

As of the end of 2020, the propor ion of clean energy in installed capacity had reached

reached

The comprehensive energy consumption of SDIC in 2020 decreased by

23,68 % year-on-year

INNOVATION IN SCIENCE AND TECHNOLOGY

40



Increasing number of technology-based enterprises



•																									



Breakthroughs in innovation platform construction

•



•

INVESTING IN THE FUTURE

Major achievements of SDIC in science and technology innovation in 2020 (Par)











China's frst high-speed satellite internet-enabled airplane

AU111111111111





The underground WWTP in Nanxiang, Jiading District, Shanghai Municipality

CASE

CASE

SDIC joins hands with SLAB to contribute to the development of new materials



SDIC and SLAB par icipated in the signing ceremony for the establishment of a new materials venture capital fund

CASE

Yalong Hydro constructs extremely-deep underground laborator , helps boost national basic scientif c research

Groundbreaking ceremony for the construction of "Extremely-Deep Underground Physical Experimental Facility w xp7 g

国家重大科技基础设施 · 极低辐射本度积淡物理实验设施

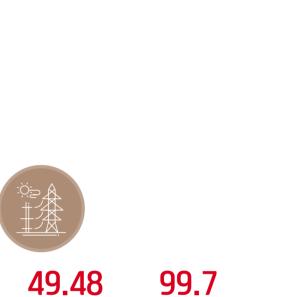
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Carbon emissions of SDIC in 2020





49.64



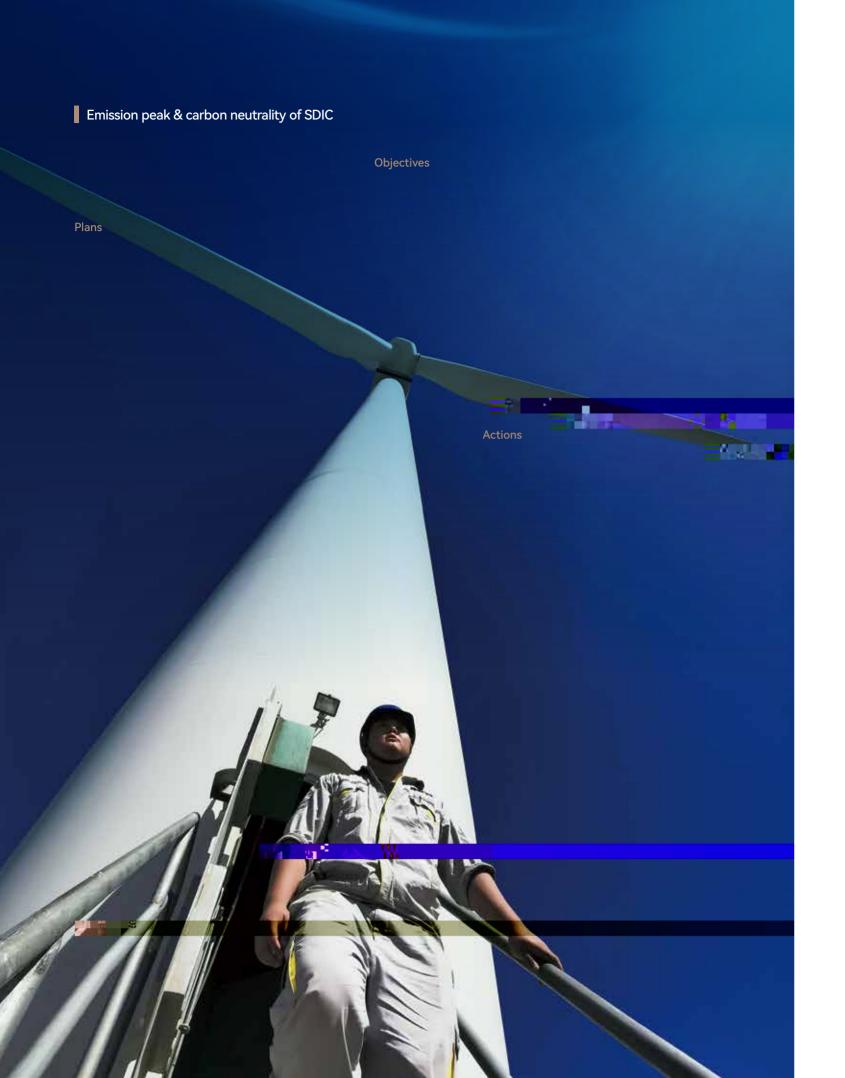
44

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EMISSION PEAK & CARBON NEUTRALITY

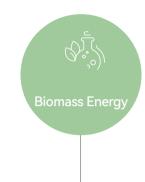
China Water Environment Group made progress in treating water environment of Erhai Lake in Dali, Yunnan Province





INVESTING IN THE FUTURE

48





Main facility of SDIC Bioenergy Hailun Co.'s fuel ethanol project with an annual output of 300,000 tons, which now is under construction

.....



Concrete pouring completed in construction of Yangfanggou hydropower station along the Yalong River



INVESTING IN THE FUTURE

50 ENERG-SAMNGEMISSION REDITION AN DECARBIN DECOMIENTES DC 22020

INVESTING IN THE FUTURE





BIODIVERSITY PROTECTION

54

SDIC Initiative

Raise all things on the ear h by the way of nature

40	

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Fish breeding and release activity organized by Yalong Hydro



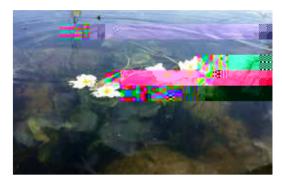


Endangered wild water owl over Nanming River

CASE

CASE Birds along Yalong River in the camera





Sea caulif owers in Erhai Lake, Yunnan Province

Aegithalos concinnus

INVESTING

IN INCLUSIVENESS

By 2020, SDIC has invested a total of

billion RMB yuan of various pover y alleviation funds

By 2020, the total size of SDIC's pover y alleviation funds has reached



INCLUSIVENESS IS ABOUT TREATING EACH OTHER IN PARITY

We stick to diversif ed and inclusive investment, allowing all groups to enjoy rights of the same quality and equal sharing, helping to gradually narrow the gap between rich and poor, among regions and between urban and rural areas, protecting vulnerable groups, and strengthening the capacity of small and medium-sized enterprises and individuals. These are the impor ant guidelines we have long adhered to in the fulf llment of duties.



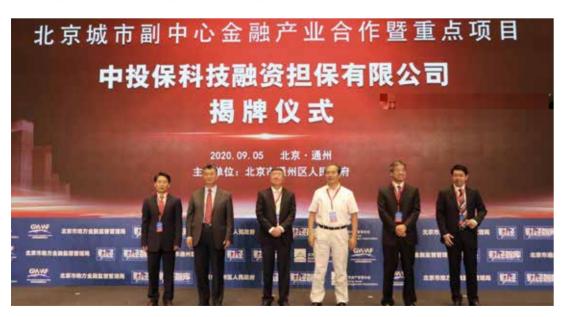
It is expected to pool

70 20 billion RMB yuan of social capital

Salt feld of SDIC Xinjiang Luobupo Potash Co.







Launch ceremony of I &G Technology Financing Guarantee Co., a new brand of inclusive f nance

INCLUSIVE ELDERLY CARE

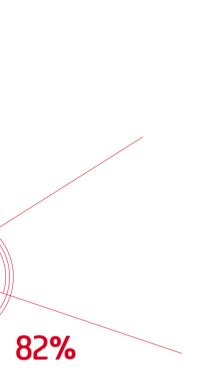
4,000



CASE

Inclusive ser ice to suppor Senior Care - Shanghai Hongkou Rainbow Bay Seniors Home







Shanghai Hongkou Rainbow Bay Seniors Home



Attentive care for elderly with dementia - Guangzhou SDIC Health Jiaqi Senior Apar ment



Little repor er visited the elderly

ACTIONS FOR POVERTY REDUCTION

POVERTY ALLEVIATION ACHIEVEMENTS AND PRACTICES

Pover y alleviation achievements of SDIC (as of the end of 2020) 121 9,802 11.5 34.7 14 630,000 5.3 280

SUSTAINABLE POVERTY ALLEVIATION MODEL

One goal

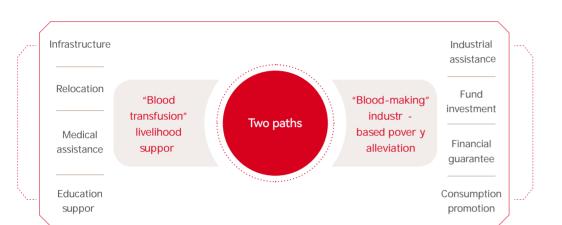
One Goal To help realize high-quality pover y elimination Tens of Thousands 60,026 3,192



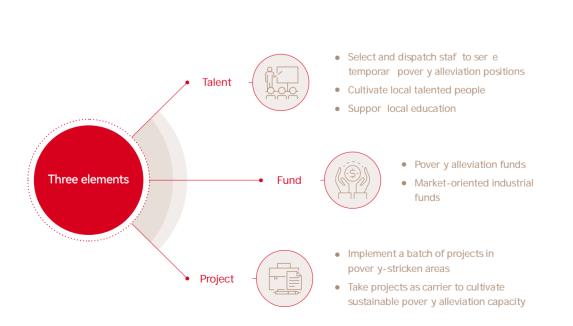
Yajiang-Xinlong Highway constructed by Yalong River Hydroin Ganzi Tibetan Autonomous Prefecture, Sichuan Province

Two paths

62



Three elements



Four-wheel drive



To establish long-term

mechanism of targeted

production, circulation,

and consumption through

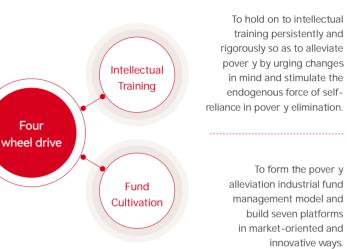
consumption promotion.

pover y alleviation in

Investment Drive Four wheel dr Consumption Promotion



SDIC Chairman Bai Tao (second from right), SDIC Board member Qian Meng (f rst from right), and their delegation conducted a feld visit to a targeted pover y alleviation countr in Guizhou Province



Innovative practices of targeted pover y alleviation of SDIC in 2020

Industrial assistance

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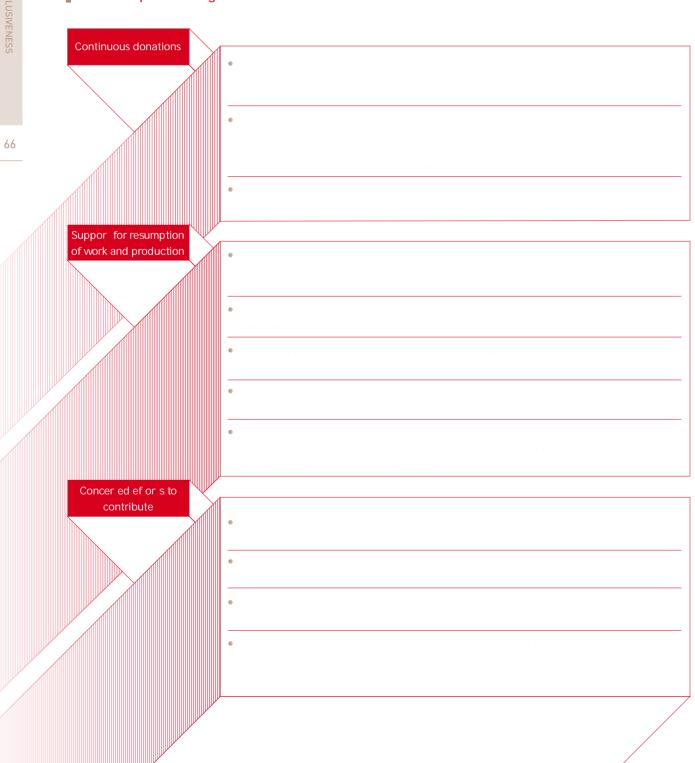
Fund-based pover y alleviation

.....

INVESTING IN INCLUSIVENESS



Actions in pandemic f ght of SDIC in 2020





SDIC Biotechrushed to the rescue of Wuhan with donations of alcohol disinfectant

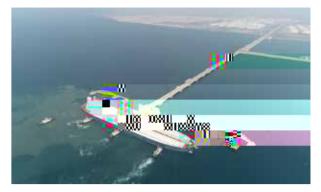


Shijiazhuang Junlebao Dair Co., an invested enterprise of SDIC Chuangyi Industr Fund Management, was donating dair products to the Chinese Red Cross Foundation

CASE

CASE

Ordinar perseverance in the time of pandemic



After resumption of work and production of SDIC Oil & Gas Terminal Yangpu, the f rst 300,000- ton oil tanker was per orming loading and discharge tasks



The debut of the automated mobile cabin lab developed by Novogene Co., an invested enterprise of SDIC Fund Management. and SDIC China Merchants Investment Management Co.



An employee from Ezhou Water Treatment Plant was at work



CASE

CASE

Public welfare activities of SDIC in 2020

NVESTING IN INCLUSIVENESS

68





Volunteers from Yalong River Hydro played games with students at the company-built Jinping Hope School

CASE

Caring for her is to care for the future



Donations with love

0

"Great Love like Water Sets Sail for Your Dream" – 19 years of dedication by Yalong Hydro



Donations to "Fostering New Dream - Care for Her"



PROTECTING RIGHTS AND INTERESTS OF EMPLOYEES

Benef ts

72

Democratic management

2018	2019	2020
7,220	8,868	7,429
1,082	901	1,051
15	6	36
46	47	51
60.53	61.84	59.72
23,500	24,087	30,233
53	49	62
24.65	29.97	41.81
0.74	2.27	4.33
3.26	8.8	12.51

ENRICHING LIFE OF EMPLOYEES





employees' photography exhibition



1st "Essence Securities Cup" Table Tennis Competition of SDIC

MORE CARE FOR EMPLOYEES

SDIC Chairman and Secretar of the Leading Par y Members' Group Bai Tao (left) and SDIC President and Deputy Secretar of the Leading Par y Members' Group Shi Hongxiang (right) jointly launch the "SDIC in My Eyes"







Xinwen Lianbo (Evening News Bulletin): National Employment Campaign Season II launched



SDIC Human Resources Service promotes employment in Xiongan New Area

INVESTING IN PEOPLE



FROM THE HEART

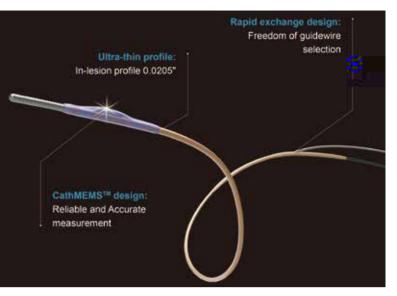
Targeting clinical pain points and making investments to save tens of millions of patients

66

As an investor in the biomedical feld, we share the same belief and always bear in mind why we started - to be excellent investors who can also 'save' millions of patients.

Unity Capital

"



Seeking do
production

Product of Insight Lifetech – TruePhysio®Rapid Exchange FFR Microcatheter

mestic

.....

.....

78

EATURED STORIES



TO GUARD FULL-HEARTEDLY

We are on the same planet



66

All creatures should share this planet with human beings. A species, once lost, will never come back again. What's more, what other consequences or ef ects of this lost link in the ecological chain are hard to imagine and beyond our control. The good thing is that today we are aware of this and a lot of conservation work has been set in motion.

> research team member "

Euchiloglanis research team was set up!



Yalong River Jinping-Guandi Fish Breeding and Release Station



Euchiloglanis research team members

"Build a new home for Euchiloglanis"

Ecology simulation pond for the Euchiloglanis

刻不容缓, 美丽

Contributing Yalong Hydro's green power to the Protection of the Yangtze River

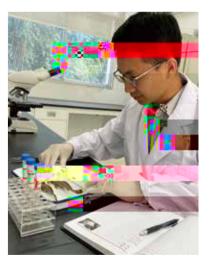
(SDIC is an investor of Yalong Hydro and Yalong Jinping-Guandi Fish Breeding and Release Station.)

82

EATURED STORIES



2020 f sh breeding and release activity organized by Yalong Hydro



Scientif c researchers were conducting research on Euchiloglanis

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EATURED STORIES



CONNECTED BY LOVE

Joining hands to get through the pandemic



66

Despite different nationalities and different languages, our hearts are getting closer and closer.

Mining Investment

"





Anti-pandemic materials and medical supplies were provided for APC

An 8,000-km far away par ner



A friend in need is a friend indeed

	Jamal Al Sarayrah, Chairman of APC,
	made a statement to a mainstream
	media in Jordan, expressing thanks to
	SDIC Mining Investment for suppor ing
	Jordan's f ght against the pandemic









Donated materials for Jordan

90

66

We appreciate the help of SDIC Mining Investment. Timely deliver of relief materials ef ectively alleviated the situation of shor supply in Jordan, fully refecting the profound friendship between China and Jordan who help each other and stand side by side through thick and thin.

> Mr. Jamal Al Sarayrah, Chairman of APC

> > **?**?

66

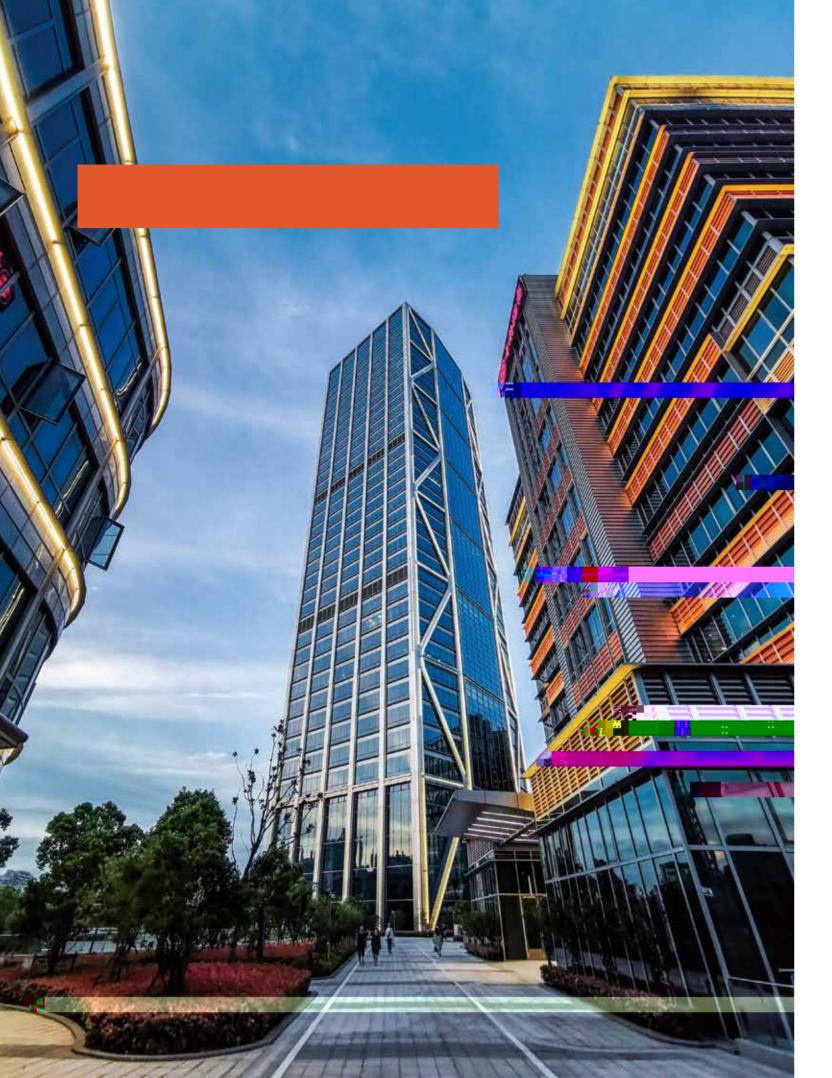
Since the outbreak of the COVID-19 pandemic, China and Jordan have stood by each other and joined hands to fight the pandemic. We see the profound friendship between the two peoples, the value of the China-Jordan strategic partnership and China's actions to support the Jordanian side in the f ght against the pandemic.

> Chen Chuandong, Chinese Ambassador to Jordan

> > **?**?

Jamal Al Sarayrah, Chairman of APC, and Maen F. Nsour, President and CEO of APC, were showing the medal

(SDIC Mining Investment is a professional industrial investment company specializing in noncoal mining and related industries under SDIC. SDIC Mining Investment is an investor and the largest shareholder of the Arab Potash Company (APC).)



CORPORATE PROFILE

ABOUT US

CORPORATE GOVERNANCE

MANAGEMENT TEAM

Bai Tao	Chairman
Li Ruge	Chief Financial Of cer
Yang Xiaohui	Vice President
Zhong Guodong	Vice President

Wang Haibo Du Wenmin Hu Changyuan Li Cheng Danzeng Nima Vice President

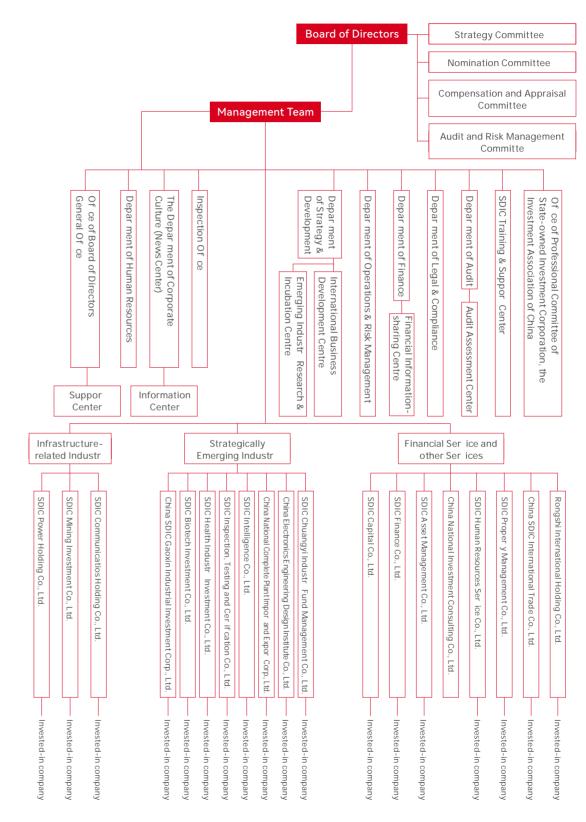
Vice President Chief of Discipline Inspection Team

Board member, President

CORPORATE MANAGEMENT

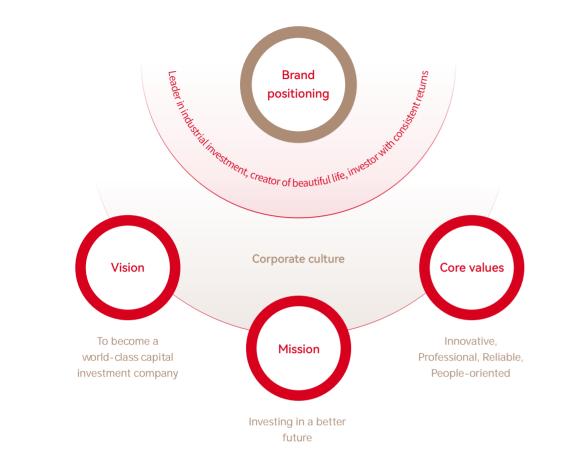
Group	Subsidiaries
	Invested-in enterprises

ORGANIZATION STRUCTURE



ABOUT US

CORPORATE BRANDS + CORPORATE CULTURE





FUTURE PROSPECTS

Embrace change and open up the future. The year 2021 is of par icular impor ance to China as it pursues the modernization drive. It is the star of the 14th Five-Year Plan, and the inaugural year of the building a new SDIC. Centering on the implementation of the "1331" overall vision, SDIC will strive for the mission of the state-owned capital investment company. It will take high-quality development as overarching principle, reform and innovation as fundamental driving force, and risk prevention as effective guarantee. It will develop its business in domestic and international markets and give full play to the platform role as a pilot of state-owned capital investment companies. It will fully enhance the leading capability for SOE reform, the guiding capability for industrial cultivation, the dominating capability for restructuring, and the per ormance capability for investment activity. It will make unremitting efforms to build itself into a world-class capital investment company with international competitiveness and to make a new SDIC featuring fexible mechanism optimized structure good henef ts

APPENDIX

KEY PERFORMANCE INDICATORS

Economic per ormance

Indicator	2018	2019	2020
Total assets (RMB100m)	5,822.83	6,318.55	6,822.70
Owner's equity (RMB100m)	1,848.74	1,950.28	2,180.78
Total operating revenue (RMB100m)	1,213.80	1,419.46	1,530.79
Total profit (RMB100m)	193.38	200.81	220.98
Total tax payment (RMB100m)	100.03	113.82	121.91
Economic value added (RMB100m)	61.3	43.8	51.8
Rate of maintenance and appreciation of State-owned assets (%)	103.88	109.48	111.46
Profit of electric power sector (RMB100m)	97.29	103.17	117.10
Profit of transportation sector (RMB100m)	21.61	7.47	0.88
Profit of financial and service sector (RMB100m)	53.92	62.01	68.97
Operating revenue of international business (RMB100m)	167.42	224.71	254.69
Investment in capital construction (RMB100m)	113	121	115
Holding installed capacity of electric power business (10,000 kW)	3,408	3,079	3,183
Annual power generation of electric power sector (100m kWh)	1,517	1,619	1,482
Handling capacity completed of transportation sector (100m tons)	2.4	1.4	1.3
Investment in science and technology activities (RMB100m)	7.4	14.9	15.8
Number of authorized patents (piece)	110	321	449
Number of patents for invention (piece)	17	101	148

Environmental per ormance

Indicator	2018	2019	2020
Investment in energy-saving and emission reduction (RMB10,000)	97,820.40	84,880.57	109,587.47
Total investment in environmental protection (RMB100m)	-	-	8.97
Investment in environmental facilities according to "Three Simultaneities" requirements (RMB10,000)	58,888.74	34,812.28	42,017.53
Investment in technological upgrading projects for energy-saving (RMB10,000)	24,181.00	9,207.11	28,516.33
Investment in technological upgrading projects for pollution treatment (RMB100m)	1.48	4.09	1.38
Total energy consumption (10,000 tons of standard coal)	1,174	1,375	1,046

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REPORT INTRODUCTION

Reference Standards

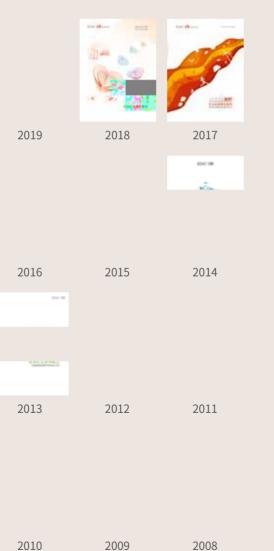
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